

UNITED STATES DISTRICT COURT  
DISTRICT OF NEW JERSEY

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UNITED STATES OF AMERICA

v.

NORMAN T. REMICK,

Defendant.  
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Criminal No.: 2:13 cr 282-1 (DMC)

Filed:

Violation: 15 U.S.C. § 1

PLEA AGREEMENT

The Antitrust Division of the United States Department of Justice and the defendant, NORMAN T. REMICK ("REMICK"), hereby enter into the following Plea Agreement ("Agreement") pursuant to Rule 11(c)(1)(B) of the Federal Rules of Criminal Procedure ("Fed. R. Crim. P.").

AGREEMENT TO PLEAD GUILTY  
AND WAIVE CERTAIN RIGHTS

1. REMICK will waive indictment pursuant to Fed. R. Crim. P. 7(b) and plead guilty in the United States District Court of New Jersey to a one-count Information, in the form attached, in which he is charged with one count of violating 15 U.S.C. § 1 in connection with a conspiracy to rig bids at certain public auctions for the sale of tax liens conducted by municipalities within the District of New Jersey, from in or about the beginning 2007 until approximately February 2009.

GOVERNMENT'S AGREEMENT

2. Upon the Court's acceptance of the guilty plea called for by this Agreement, the United States will not bring further criminal charges against REMICK for any act or offense committed prior to the date of this Agreement that was in furtherance of any agreement to rig bids certain public auctions for the sale of tax liens conducted by municipalities within the District of New Jersey. The nonprosecution terms of this paragraph do not apply to civil matters of any kind, to any violation of the federal securities laws, or to any crime of violence.

3. It is understood that this Agreement does not bind any other federal agency or local prosecuting authority or administrative agency other than the Antitrust Division of the United States Department of Justice. However, if requested, the United States will bring the fact, manner and extent of the cooperation of REMICK to the attention of other prosecuting, administrative, and other agencies as a matter for such agencies to consider as appropriate.

POSSIBLE MAXIMUM PENALTIES

4. REMICK understands that the statutory maximum penalty which may be imposed against him upon conviction for a violation of 15 U.S.C. § 1 is:

(a) a term of imprisonment for ten (10) years (15 U.S.C. § 1);

(b) a fine in an amount equal to the greatest of (1) \$1 million, (2) twice the gross pecuniary gain the conspirators derived from the crime, or (3) twice the gross pecuniary loss caused to the victims of the crime by the conspirators (15 U.S.C. § 1 and 18 U.S.C. §§ 3571(b) and (d)); and

(c) a term of supervised release of three (3) years following any term of imprisonment. If the defendant violates any condition of supervised release, the defendant could

be imprisoned up to two (2) years (18 U.S.C. § 3559(a)(3); 18 U.S.C. §§ 3583(b)(2) and (e)(3); and U.S.S.G. § 5D1.2(a)(2)).

5. In addition, REMICK understands that:

(a) pursuant to U.S.S.G. § 5E1.1 or 18 U.S.C. § 3583(d), the Court may impose an order of restitution to the victims of the offense; and

(b) pursuant to 18 U.S.C. § 3013(a)(2)(A), the Court is required to order the defendant to pay a \$100.00 special assessment upon conviction for the charged crime.

#### SENTENCING GUIDELINES

6. REMICK understands that the United States Sentencing Guidelines (“Sentencing Guidelines”) are advisory, not mandatory, but that the Court must consider the Sentencing Guidelines, along with the other factors set forth in 18 U.S.C. § 3553(a), in determining and imposing a sentence. REMICK understands that the Sentencing Guidelines determinations will be made by the Court by a preponderance of the evidence standard. REMICK understands that although the Court is not ultimately bound to impose a sentence within the applicable Sentencing Guidelines range, its sentence must be reasonable based upon considerations of all relevant sentencing factors set forth in 18 U.S.C. § 3553(a).

#### SENTENCING AGREEMENT

7. REMICK understands that the sentence to be imposed on him is within the sole discretion of the sentencing judge. It is understood that the Sentencing Guidelines are not binding on the Court. REMICK acknowledges that the entry of his guilty plea to the charged offense authorizes the sentencing court to impose any sentence up to and including the statutory maximum sentence. The United States cannot and does not make any promises or

representations as to what sentence REMICK will receive. REMICK understands that, as provided in Fed. R. Crim. P. 11(c)(3)(B), if the Court does not impose a sentence consistent with either party's sentencing recommendation, he nevertheless has no right to withdraw his plea of guilty. The United States will inform the Probation Office and the Court of (a) this Agreement; (b) the nature and extent of REMICK's activities with respect to this case, and all other activities of REMICK which the United States deems relevant to sentencing; and (c) the timeliness, nature, extent and significance of REMICK's cooperation with the United States. In so doing, the United States may use any information it deems relevant, including information provided by REMICK both prior and subsequent to the signing of this Agreement. The United States reserves the right to make any statement to the Court or the Probation Office concerning the nature of the offense charged in the attached Information, the participation of REMICK therein, and any other facts or circumstances that it deems relevant. The United States also reserves the right to comment on or to correct any representation made by or on behalf of REMICK, and to supply any other information that the Court may require.

8. The United States and REMICK agree that pursuant to U.S.S.G. § 2R1.1, the volume of commerce attributable to REMICK is approximately \$330,000. In light of the availability of civil causes of action, in the United States District Court for the District of New Jersey, which potentially provide for a recovery of a multiple of actual damages, the United States and REMICK agree that any sentencing recommendation either party may make to the Court will not include an order of restitution for the offense charged in the Information.

9. REMICK understands that this Agreement does not in any way affect or limit the right of the United States to respond to and take positions on post-sentencing motions or requests for information that relate to the reduction or modification of his sentence.

#### REPRESENTATION BY COUNSEL

10. REMICK has reviewed all legal and factual aspects of this case with his attorney and is fully satisfied with his attorney's legal representation. REMICK has thoroughly reviewed this Agreement with his attorney, and has received satisfactory explanations from his attorney concerning each paragraph of this Agreement and alternatives available to him other than entering into this Agreement. After conferring with his attorney and considering all available alternatives, REMICK has made a knowing and voluntary decision to enter into this Agreement.

#### VOLUNTARY PLEA

11. REMICK's decision to enter into this Agreement and to tender a plea of guilty is freely and voluntarily made and is not the result of force, threats, assurances, promises, or representations other than the representations contained in this Agreement. The United States has made no promises or representations to REMICK as to whether the Court will accept or reject the recommendations contained within this Agreement.

#### VIOLATION OF PLEA AGREEMENT

12. REMICK agrees that, should the United States determine in good faith, during the period that any Federal Proceeding is pending, that he has violated any provision of this Agreement, the United States will notify counsel for the defendant in writing by personal or overnight delivery or facsimile transmission and may also notify counsel by telephone of its intention to void any of its obligations under this Agreement (except its obligations under this

paragraph), and REMICK shall be subject to prosecution for any federal crime of which the United States has knowledge including, but not limited to, the substantive offenses relating to the investigation resulting in this Agreement. REMICK agrees that, in the event that the United States is released from its obligations under this Agreement and brings criminal charges against him for any offense referred to in Paragraph 1 of this Agreement, the statute of limitations period for such offense shall be tolled for the period between the date of the signing of this Agreement and six (6) months after the date the United States gave notice of its intent to void its obligations under this Agreement.

13. REMICK understands and agrees that in any further prosecution of him resulting from the release of the United States from its obligations under this Agreement based on REMICK's violation of the Agreement, any documents, statements, information, testimony, or evidence provided by him to attorneys or agents of the United States, federal grand juries, or courts, and any leads derived therefrom, may be used against him in any such further prosecution. In addition, REMICK unconditionally waives his right to challenge the use of such evidence in any such further prosecution, notwithstanding the protections of Fed. R. Evid. 410.

#### ENTIRETY OF AGREEMENT

14. This Agreement constitutes the entire agreement between the United States and REMICK concerning the disposition of the criminal charge contained in this case. This Agreement cannot be modified except in writing, signed by the parties.

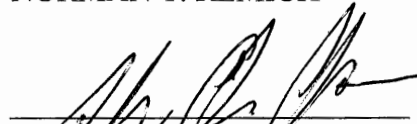



15. The undersigned attorneys for the United States have been authorized by the Attorney General of the United States to enter this Agreement on behalf of the United States.

Dated: 3/21/13

Respectfully submitted,

  
NORMAN T. REMICK

  
JUDSON A. AARON, ESQ.  
Counsel for Norman T. Remick

  
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